(Page 1 of 5) Case 1:07-cv-06726-SHS Document 11-3 Filed 11/09/2007 Page 2 of 6

Erc Specialty Claims (GE ERC)

From:

Faxination [Faxination@erckan.fax] Wednesday, July 26, 2006 2:27 PM

Sent: To:

Erc Specialty Claims (GE ERC)

Subject:

Fax received (4p) from: '9739944882' on ID:6890



Incoming Fax:

Description:

Explanation:

Sent to: '6890' CSID: 9739944882 (0)

Items received: 4

Duration: 44 seconds

Transmission speed: 26400 baud

Gateway ID: 0

Job Reference: 00028231

Brian - I'll send over the policy specs via email, I'm in that system already. Thank you. dmg

Jared - see above, I will send policy to you, sent this to Brian first but then handed him a FL matter instead. Let me know if you need anything. dmg

**LAWYERS** 



07 / 26 / 2006

## \_\_\_ UNDERWRITING SERVICES -

P.O. Box 29179 • Shawnee Mission, Kansas 66201 • Fax 1.800.844-7420 • ercaffinitymail@ge.com

ADDRESSEE	· · · · · · ·	/ / -	Į.	07 / 26 / 2006	
TO: **CLAIMS**		PHONE	NEED-BY DATE		
SENDER FROM: Lisa Mancino	)	<u>( 973 ) 994 –</u>	4881   EFFECTIVE DATE		
ACUBER	tnerAssociates.com		INSURED STATE	03 / 14 / 2006	
SAN / SUBMISSION ID / POLICY NO.: NRL-004960-8			NY		
!			TOTAL PAGE COUNT IN	CLUDING THIS FORM 4	
APPLICANT / NAMED	INSURED: Cohen_Henn	essey & Bienstock &	KaD		
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DOCUMENT TYPE		DOCUMENT TYPE PLEASE SELECTONLY ONE BOX BELOW			
PLEASE SELECT ONLY ON	E BOX BELOVV	1	☐ Certificate of	Loss Run Request	
Address Change	☐ FILLING ON-SURE		Reinsurance	☐ Premium Finance	
Additional Insured Add / Delete	Loss Control Documentation	Application Westport	☑ Claim Report	Request	
Add / Delete	Loss Run	Application Competitor	Correction Request	☐ Rating / Evaluation Worksheet	
Application Westport	☐ Name Change	☐ Billing & Collection Change Request	☐ Correspondence	Request to Issue	
Application Competitor	☐ Non-Renewal Notice	☐ Broker of Record Request	☐ Endorsement Request	☐ Underlying Folicy Excess	
☐ Binder	☐ Policy	Cancellation Request	☐ Financial Report	Update / Warranty Letter	
☐ Broker of Record Request	☐ Premium Finance Notice	otice PLEASE SELECT ONLY ONE BOX BELOW			
Certificate of	☐ Quotation	☐ Accounting Change	☐ Deductible Change	☐ Name Change	
Conditional Non-Renewal	Reting / Evaluation Worksheet	Additional Insured Add / Delete	☐ Excess Coverage	New Business Request to Issue	
Correspondence	Registered / Certified Mail (RCM) Return Receipt	☐ Address Change	Extended Reporting Period – ERP / NPERP	New Business Submission	
☐ Deductible Change	Underlying Policy Excess	Attorney Add / Delete	Extension of Policy Period	New Business Submission – Requires Application Entry	
Extended Reporting Ferlod – ERP / NPERP	☐ Update / Warranty Letter	☐ Broker of Record Request	☐ Facultative Reinsurance Underwriter Review		
Extension of Policy Period	Other	☐ Cancel by Company	Limits Change	Renewal Business Request to Issue	
☐ E-Board Presentation		Cancel by Insured or by Premium Finance Co.	Loss Run Request Report	Renewal Business Submission	
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	SELECT ONLY ONE BOX BEL		☐ Non-Binding	Referral Response	
☐ Additional ☐	Cancel / Rewrite 🔲 Dea	th Certificate 🔲 Declination	Indication		

COMMENTS claims department - potential claim

Information

Request

\*Ballpark\*

07/26/2008 14:59 FAX

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COHEN HENNESSEY BIENSTOCK & RABIN P.C.

Attorneys At Law
11 West 42<sup>nd</sup> Street, 19<sup>th</sup> Floor
New York, New York 10036-8002
(212) 575-0007
Fax (212) 764-3925/21

## TELECOMMUNICATION LETTER

PLEASE DELIVER THE FOLLOWING PAGE(S) TO:
NAME: Laura Sundquist
LOCATION:
FAX NUMBER: 973 994 4882
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DATE: 7/26/06 TIME:
TOY WEST PLEASE DELIVER IMMEDIATELY
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IMPORTANT - THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE TO DELIVER IT TO THE INTENDED RECIPIENT. YOU ARE IMPRESY NOTIFIED THAT READING. DISSEMINATING, DISTRIBUTING OR COPYING THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE. AND RETURN THE ORIGINAL THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE. AND RETURN THE ORIGINAL THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE.

RATNER ASSOCIATES

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07/26/2006 14:59 FAX

PAUL I. MARX

j

MEMBER NY & NJ BAR

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Law Offices of PAUL I. MARX

THE GATEWAY BUILDING ONE NORTH LEXINGTON AVENUE WHITE PLAINS, NEW YORK 10601

> (9)4) 949-4760 FACSIMILE (9)4) 949-4141

DECEINE Maa'\

> ELIOT M. SCHUMAN OF COUNSEL

SENT VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED AND REGULAR MAIL

June 29, 2006

Patricia Hennessey, Esq. Cohen Hennessey & Bienstock, P.C. 11 West 42nd Street, 19th Floor New York, NY 10036

Dear Ms. Hennessey:

This is to advise you that we represent Jayne Asher, a former client of yours, who sustained damages and monetary loss as a result of legal malpractice committed by your firm.

Specifically, our client was damaged by reason of your firm's failure, in the course of representing her in connection with a divorce action against her ex-husband, to timely exercise rights of election with respect to a term insurance policy on the life of her ex-husband with a death benefit in the amount of \$1,000,000,000, and a variable insurance policy on the life of her ex-husband, also with a death benefit in the amount of \$1,000,000.00. As a result thereof, our client lost the value of her right to assume the term policy and retain ownership of the variable policy, both of which were options given to her pursuant to the Stipulation which settled the divorce action.

As you know, because of your failure to preserve her rights under the Stipulation, the First Department of the Appellate Division of the Supreme Court of the State of New York determined (in its decision dated February 24, 2004) that Ms. Asher waived her rights of election under the Stipulation and thus lost the benefit of such insurance policies.

RATNER ASSOCIATES

07/26/2006 15:00 FAX

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Patricia Hennessey, Esq.

Cohen Hennessey & Bienstock, P.C.

Re: Jayne Asher

Page Two

June 29, 2006

Please be certain to give notice of this claim to any and all professional liability insurance carriers that have issued legal malpractice policies to your firm which maybe applicable to and available to satisfy our client's claims herein, and advise us of the name and address of said carrier(s), as well as the policy number(s) of such policies. Please direct all further communications relating to this matter to our office.

If you wish to discuss this matter in order to avoid litigation, please feel free to contact me.

Very truly yours

PIM/eh

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RATNER ASSOCIATES 101 2 6 2006